



July 14, 2011

Ms. Brenda Duty, President
AFGE Local R5-184
P.O. Box 11728
Lexington, KY 40577-1728

Case Number: [REDACTED]
LM Number: 517722

Dear Ms. Duty:

This office has recently completed an audit of AFGE Local R5-184 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Civil Service Reform Act of 1978 (CSRA), 5 U.S.C. 7120, and the Department's regulations, 29 CFR 458. As discussed during the exit interview with you and Executive Vice President Frankie Townsend on May 17, 2011, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

The audit of Local R5-184's 2010 records revealed the following reporting violations:

Reporting Violations

Pursuant to 29 C.F.R., Section 458.3, the reporting requirement under 29 C.F.R. Section 403.2 (see Section 201(b) of the Labor-Management Reporting and Disclosure Act (LMRDA)) is made applicable to labor organizations subject to the requirements of the CSRA. This provision requires labor organizations to file annual financial reports that accurately disclose their financial condition and operations. The audit disclosed a violation of this requirement. The Labor Organization Annual Report (Form LM-4) filed by Local R5-184 for the fiscal year ended August 31, 2010, was deficient in that the local reported cents in Item 14 (Total value of your organizations assets) and failed to report any dollar amounts in Item 16 (Total Receipts), Item 17 (Total Disbursements), and Item 18 (Total Payments to Officers and Employees).

Since the local submitted an amended LM-4 for the fiscal year ended August 31, 2010 during the audit, no further action is contemplated at this time.

Ms. Brenda Duty

July 15, 2011

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I want to extend my personal appreciation to AFGE Local R5-184 for the cooperation and courtesy extended during this compliance audit. I strongly recommend that you make sure this letter and the compliance assistance materials provided to you are passed on to future officers. If we can provide any additional assistance, please do not hesitate to call.

Sincerely,

A solid black rectangular box redacting the signature of the investigator.

Investigator

cc: Mr. Frankie Townsend, Executive Vice President