

U.S. Department of Labor

Employment Standards Administration
Office of Labor-Management Standards
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March 28, 2008

Mr. Matthew Thompson, Financial Secretary
Roofers AFL-CIO LU 153
3049 South 36th Street, Room 214
Tacoma, WA 98409

LM File Number: 043-907
Case Number: [REDACTED]

Dear Mr. Thompson:

This office has recently completed an audit of Roofers Local 153 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As discussed during the exit interview with you and Enrolled Agent (Auditor) Kathy Harrison-Suits on March 24, 2008, the following problem was disclosed during the CAP. The matter listed below is not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

Reporting Violations

The audit disclosed a violation of LMRDA Section 201(b), which requires labor organizations to file annual financial reports accurately disclosing their financial condition and operations. The Labor Organization Annual Report Form LM-3 filed by Roofers Local 135 for fiscal year ending December 31, 2006, was deficient in that the local failed to file its most recent bylaws.

Failure to File Bylaws

The audit disclosed a violation of LMRDA Section 201(a), which requires that a union submit a copy of its revised constitution and bylaws with its LM report when it makes changes to its constitution or bylaws. Roofers Local 153 amended its constitution and bylaws in 2005, but did not file a copy with its LM report for that year. Local 153 has now filed an updated copy of its constitution and bylaws to OLMS.

Letter/Mr. Matthew Thompson

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I want to extend my personal appreciation to Roofers Local 153 for the cooperation and courtesy extended during this compliance audit. I strongly recommend that you make sure this letter and the compliance assistance materials provided to you are passed on to future officers. If we can provide any additional assistance, please do not hesitate to call.

Sincerely,



Investigator

cc: Mr. Darren Witham, President