



September 29, 2010

Mr. Mike Pratt, Financial Secretary
United Auto Workers Local 128
1230 South Market Street
Troy, OH 45373

Case Number: [REDACTED]
LM Number: 003988

Dear Mr. Pratt:

This office has recently completed an audit of United Auto Workers Local 128 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As discussed during the exit interview with you and President Gary Hawkins on August 25, 2010, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

Reporting Violations

The audit disclosed a violation of LMRDA Section 201(a), which requires that a union submit a copy of its revised constitution and bylaws with its LM report when it makes changes to its constitution or bylaws. Local 128 amended its bylaws, but did not file a copy with its LM report for that year.

Local 128 has now filed a copy of its bylaws.

I want to extend my personal appreciation to United Auto Workers Local 128 for the cooperation and courtesy extended during this compliance audit. I strongly recommend that you make sure this letter and the compliance assistance materials provided to you are passed on to future officers. If we can provide any additional assistance, please do not hesitate to call.

Sincerely,

[REDACTED]
Investigator

cc: Mr. Gary Hawkins, President